

Patterns of mortality among adults with intellectual and developmental disabilities in Ontario

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4 1 **Patterns of mortality among adults with intellectual and developmental disabilities in**
5 2 **Ontario**
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8 3 **ABSTRACT**
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11 4 OBJECTIVES: To determine recent mortality rates among Ontarian adults with intellectual and
12 5 developmental disabilities (IDD) and investigate changes over time in contrast to the general
13 6 population. To determine the most commonly reported underlying causes of death and explore
14 7 related coding practices.
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18 8 METHODS: Using linked health administrative data, four cohorts of adults with IDD aged 25-99
19 9 living in Ontario were followed for one year (one cohort for each year between 2011 and 2014).
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21 10 Deaths (2011 to 2014) and causes of death (2011 to 2013) were identified, and age standardized
22 11 mortality rates were calculated annually. For 2013, overall and sex-specific standardized
23 12 mortality ratios were calculated. Mortality ratios were also examined across 5-year age groups.
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25 13 Commonly reported causes of death were tabulated by ICD-10 chapter, and differences by sex
26 14 examined. All deaths with IDD diagnostic codes reported as underlying cause of death were
27 15 identified.
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31 16 RESULTS: Mortality rates among individuals with IDD have been decreasing over time; in
32 17 2014, the age-standardized mortality rate was 30.3 deaths per 1 000 person-years. Disparities in
33 18 mortality rates relative to the general population decreased with increasing age. No significant
34 19 sex differences were found. The most common causes of death among individuals with IDD
35 20 were cardiovascular disease, neoplasms, and diseases of the respiratory system. An IDD
36 21 diagnostic code was reported as cause of death in 3.8% of cases.
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40 22 CONCLUSIONS: The ongoing excess mortality among Ontarians with IDD should be closely
41 23 monitored by policy makers and service providers. Attention to cause of death reporting should
42 24 be considered so that cause of death can be thoroughly examined.
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46 25 **KEY WORDS**
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50 26 mortality rate; intellectual disability; cause of death; health disparities; developmental disability
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4 27 **INTRODUCTION**
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7 28 The UK report “Death by Indifference” (Mencap 2007) raised alarm internationally regarding the
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9 29 quality of healthcare provided to persons with intellectual and developmental disabilities.
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11 30 According to the 2012 Canadian Survey on Disabilities, 0.6% of Canadians aged 15 to 64 have
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13 31 developmental disabilities (defined as a self or proxy reported diagnosis of a developmental
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15 32 disability/disorder including Down syndrome, autism, Asperger syndrome or mental impairment
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17 33 due to lack of oxygen at birth) (Bizer et al. 2015). Such disabilities, referred to internationally as
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19 34 intellectual and developmental disabilities (IDD), originate before the age of 18 and are
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21 35 characterized by significant limitations in both intellectual ability and adaptive functioning
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23 36 impacting many everyday social and practical skills (American Association on Intellectual and
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25 37 Developmental Disabilities 2013). Individuals with IDD have been found to have 2.5 times more
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27 38 health problems than the general population (van Schrojenstein et al. 2000). These health
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29 39 problems include increased risk for mental health problems such as neurological or
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31 40 psychological disorders as well as increased risk for physical health problems such as diseases of
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33 41 the eye or ear, and diseases of the musculoskeletal system (van Schrojenstein et al. 2000).
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35 42 Increased risk for certain health problems can be attributed to IDD, however, others may arise
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37 43 due to the unique challenges in accessing quality health care for this population, or their living
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39 44 conditions (van Schrojenstein et al. 2000; Ouellette-Kuntz 2005; Lauer and McCallion 2015).
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41 45 Understanding the unique health care needs of individuals with IDD and identifying disparities
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43 46 in health and in determinants of health is an important first step in providing adequate health care
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45 47 to this population (Evenhuis et al. 2013; Lin et al. 2013).
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4 49 Mortality is a foundational measure commonly used by epidemiologists to report on the health of
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6 50 a population (McCarron et al. 2015). However, Canadian mortality statistics specific to the
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8 51 population with IDD are limited. A recent review (Ouellette-Kuntz et al. 2015) identified only
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10 52 three studies of mortality among persons with IDD since 1995: two from Ontario and one from
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12 53 Manitoba. While the Ontario studies were not comprehensive (one Ontario study was based on a
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14 54 small region, another reported only on in-hospital mortality), these studies demonstrate an excess
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16 55 mortality in Ontarians with IDD that is more pronounced in childhood and early adulthood as
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18 56 well as among women. Excess mortality and greater disparities between younger age groups
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20 57 have similarly been reported for populations of people with IDD in other countries (Dieckmann
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22 58 et al. 2015; McCarron et al. 2015). An observed sex difference, on the other hand, has not been
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24 59 consistently found across studies (Heslop et al. 2014; Dieckmann et al. 2015; Florio and Trollor
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31 60 2015; Lauer and McCallion 2015; McCarron et al. 2015; Trollor et al. 2017).
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35 62 Some of the disparities in mortality rates may be due to the complex health problems that arise in
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37 63 many individuals with IDD; however, this is not likely to account for all of the excess mortality
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39 64 (Tyrer and McGrother 2009; Lauer and McCallion 2015). Causes of death can shed light on this
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41 65 matter. The three most common causes of death reported among individuals with IDD are
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43 66 cardiovascular diseases, respiratory diseases and neoplasms (Patja et al. 2001; Hosking et al.
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45 66 2016; Trollor et al. 2017). To date, no Canadian studies have comprehensively reported on
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48 67 causes of death among adults with IDD.
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55 70 Reliable and accurate reporting is crucial to the study of cause of death. There is evidence from
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57 71 other jurisdictions that IDD is being inappropriately recorded as a cause of death. Cause(s) of
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4 72 death recorded on the death certificate are meant to report the immediate chain of events that
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6 73 lead to death. Tyrer and McGrother (2009) argue that although IDD may predispose an
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8 74 individual to certain conditions that could contribute to death, IDD itself is not a relevant cause
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10 75 of death and should therefore not be listed under any cause of death field (i.e. leading,
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12 76 immediate, underlying, other conditions contributing) on the death certificate. Despite this,
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14 77 research from the United Kingdom found that approximately 40% of death certificates for
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16 78 individuals with IDD reported an IDD (for example Down syndrome or autism spectrum
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18 79 disorder) as another condition contributing to death, and 1.2% of death certificates reported an
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21 80 IDD as the underlying cause of death (Tyrer and McGrother 2009).
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29 82 A thorough understanding of patterns in mortality among individuals with IDD can inform
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31 83 policy and interventions that could reduce observed disparities (Lauer and McCallion 2015).
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34 84 This study addresses a critical gap in health research regarding current mortality patterns among
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36 85 individuals with IDD living in Canada by examining yearly mortality among adults with IDD in
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38 86 Ontario between 2011 and 2014. The study investigated changes in mortality rates over time and
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40 87 current patterns of mortality by age and sex in contrast to the general population. The causes of
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42 88 death were also explored, and coding practices related to causes of death were scrutinized.
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49 90 **METHODS**
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52 91 Four different cohorts, one for each year between 2011 and 2014, were identified. All members
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54 92 of the cohorts were aged 25-99 and living in Ontario as of January 1st of that year and identified
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56 93 as having an IDD. Cohort definition was based on a previously defined cohort (Ouellette-Kuntz
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58 94 and Martin 2014). Diagnoses of IDD (Lin et al. 2013) were identified by searching the Discharge
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4 95 Abstract Database, Same Day Surgery Database, Ontario Mental Health Reporting System,
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6 96 National Ambulatory Care Reporting System, Ontario Health Insurance Plan, the Chronic Care
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8 97 Reporting System for Long-Term Care, and the Home Care Database. Demographic variables
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10 98 were obtained from the Registered Persons Database while data regarding the outcomes death
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12 99 (available to December 31, 2014) and causes of death (available to December 31, 2013) were
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14 100 obtained from the Office of the Registrar General Database. All datasets were linked using
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16 101 unique encoded identifiers and analyzed at [removed for blinding].
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24 103 To examine trends in mortality over time, crude annual person-time mortality rates and age-
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26 104 standardized mortality rates were calculated for calendar years 2011 to 2014. When comparing
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28 105 to overall mortality rates in Ontario, it is important to report age-adjusted rates since the 50%
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31 106 survival probability for a population with IDD is reached roughly 10 years earlier than the
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33 107 general population (Bittles et al. 2002). Hence, mortality rates were age standardized using the
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36 108 2011 Canadian population (Statistics Canada n.d.).
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41 110 The most complete data were available for 2013 therefore data for the 2013 cohort were further
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43 111 analyzed to establish the most recent patterns of mortality within individuals with IDD. Age
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45 112 standardized mortality rates were calculated by sex and standardized using the 2011 Canadian
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48 113 male and female populations respectively. Examining for sex differences was warranted as it has
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50 114 been found that in Ontario there are more males (57.4%) than females (42.7%) affected by IDD
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53 115 (Lunsky et al. 2013); this is in part due to the role of x-linked disorders associated with IDD
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55 116 (McDermott et al. 2007). Overall and sex specific standardized mortality ratios were derived
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58 117 from the mortality rates in the IDD population and the corresponding mortality rates in the
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4 118 general Ontario population. Confidence intervals for the age standardized rates and standardized
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6 119 mortality ratios were calculated using the gamma method (Fay and Feuer 1997).
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8 120 Common causes of death overall and among males and females were analyzed by categorizing
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10 121 all underlying causes of death into their respective ICD-10 chapter, and determining the
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12 122 frequency of causes of death in each ICD-10 chapter. Frequencies of IDD diagnostic codes
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14 123 identified by Lin et al. (2013) being recorded as the underlying cause of death were also
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16 124 tabulated.
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24 126 This study was reviewed for ethical compliance by the institutional review board at [removed for
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26 127 blinding].
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32 129 **RESULTS**
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35 130 The 2011 cohort consisted of 40 279 individuals with IDD, indicating a prevalence of 0.44%
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37 131 among 25 to 99 year olds in Ontario. The cohort had an average age of 47.9 years with a larger
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39 132 proportion of individuals being male (53.4%). Approximately 8% of individuals identified with
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41 133 an IDD had Down syndrome. There were 1 232 deaths for the overall cohort in 2011 with an
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43 134 average age at death of 69.5 years. As shown in Table 1, the size of the cohort increased each
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45 135 year: there were more individuals aged 25 years entering the cohort than individuals exiting
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47 136 because of death or reaching age 100. Because of this, the average age of the cohort dropped
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49 137 each year as did the average age at death. In each cohort, the proportion of male individuals was
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51 138 slightly higher than the proportion of females and the proportion of individuals with Down
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53 139 syndrome was consistently around 8.0%.
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141 Table 1: Cohort characteristics – Adults with IDD 25 to 99 years of age, by year

	n (%) or mean (SD)			
	2011 (n=40 279)	2012 (n=40 375)	2013 (n=40 691)	2014 (n=41 266)
Age	47.9 (16.0)	47.5 (15.8)	47.0 (15.7)	46.6 (15.7)
Sex - Male	21 498 (53.4 %)	21 774 (53.9 %)	22 172 (54.5%)	22 701 (55.0%)
Down syndrome	3 242 (8.0%)	3 264 (8.1%)	3 275 (8.0%)	3 319 (8.0%)
Deaths	1 232 (3.1%)	1 078 (2.7%)	974 (2.4%)	922 (2.2%)
Age at death	69.5 (16.8)	68.6 (17.2)	67.5 (16.8)	67.0 (17.1)
IDD-coded deaths	48 (3.9%)	61 (5.7%)	37 (3.8%)	Not Available

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143 The age standardized mortality rates for adults with IDD in Ontario have decreased from 2011 to
144 2014 reaching a rate of 30.3 per 1 000 person-years (95% C.I.: 28.3, 32.4) in 2014 (see Table 2).
145 In 2013, mortality rates were not found to be significantly different between sexes with a rate of
146 31.9 per 1 000 person-years for males (95% C.I.: 29.0, 35.0) and a rate of 30.4 per 1 000 person-
147 years for females (95% C.I.: 27.7, 33.3). To compare mortality rates within our cohort to the
148 Ontario population, standardized mortality ratios were calculated. Both sexes had statistically
149 significant standardized mortality ratios with a ratio of 3.7 for males (95%. C.I.: 3.4, 4.1) and 3.6
150 for females (95% C.I.: 3.3, 3.9), but neither significantly higher than the other. Table 3 presents
151 crude mortality rates and mortality ratios for 5-year age groups. Mortality rates increased with
152 increasing age, while mortality ratios decreased with increasing age; a mortality ratio of 7.4

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4 153 (95% C.I.: 4.5, 10.4) was found for those aged 25-29 compared to a mortality ratio of 1.7 (95%
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6 154 C.I.: 1.3, 2.0) for those aged 90-99.
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12 156 Table 2: Annual mortality rates per 1 000 person-years for Ontarians with IDD aged 25-99 years
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Year	Person-years	Crude mortality rate	Age standardized
			mortality rates (95% CI) ^a
2011	39,608	31.1	37.4 (35.3, 39.6)
2012	39,793	27.1	33.4 (31.3, 35.5)
2013	40,144	24.3	31.1 (29.1, 33.2)
2014	40,687	22.6	30.3 (28.3, 32.4)

31 ^aStandardized by age using the 2011 Canadian population
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37 158 Table 3: Crude mortality rates per 1 000 person-years and mortality ratios by age for Ontarians
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39 159 with IDD in 2013

Age group	Age-specific mortality rates		
	IDD cohort	Ontario ^a	Mortality ratio
25-29	3.7	0.5	7.4 (4.5,10.4)
30-34	4.2	0.5	8.4 (4.6, 12.2)
35-39	5.7	0.7	8.2 (4.8, 11.5)
40-44	8.0	1.1	7.2 (4.7, 9.7)
45-49	8.6	1.8	4.8 (3.3, 6.3)
50-54	16.7	2.8	6.0 (4.6, 7.3)

55-59	30.6	4.7	6.5 (5.3, 7.7)
60-64	38.6	7.2	5.4 (4.4, 6.4)
65-69	44.1	10.7	4.1 (3.2, 5.0)
70-74	77.8	17.8	4.4 (3.5, 5.2)
75-80	110.1	28.7	3.8 (3.1, 4.6)
80-84	143.9	51.1	2.8 (2.2, 3.4)
85-90	177.6	89.7	2.0 (1.5, 2.4)
90+	309.1	187.3	1.7 (1.3, 2.0)

Standardized Mortality Ratio 3.67 (3.4, 3.9)

^aIncludes adults with and without IDD; source: Statistics Canada (No date)

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161 The most recent cause of death data were available for 2013. The underlying cause of death was
162 reported on the death certificate for 972 out of 973 deaths among individuals with IDD in that
163 year. The five most common reported causes of death in 2013 among individuals with IDD were
164 diseases of the circulatory system (19.7%), diseases of the respiratory system (16.0%),
165 neoplasms (11.8%), mental and behavioral disorders (9.3%), and diseases of the nervous system
166 (8.9%). While the three most common reported causes of death in 2013 were the same for males
167 and females, sex differences were observed when considering the fourth and fifth most common
168 causes of death with mental and behavioral disorders and diseases of the nervous system ranking
169 fourth and fifth for females compared to external causes and mental and behavioral disorders for
170 males. Table 4 compares the top three most common causes of death by sex to the general
171 population.

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4 173 Table 4: Most common causes of death by sex among adults with IDD in Ontario and for all of
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6 174 Canada (percentage of deaths in 2013)

	IDD cohort	Canada ^a
11 Males	Diseases of the Circulatory System (19.4%)	Neoplasms (32.2%)
15	Diseases of the Respiratory System (18.2%)	Diseases of the Circulatory System (24.9%)
21	Neoplasms (10.9%)	Diseases of the Respiratory System (9.3%)
25 Females	Diseases of the Circulatory System (20.0%)	Neoplasms (29.4%)
30	Diseases of the Respiratory System (13.8%)	Diseases of the Circulatory System (27.5%)
36	Neoplasms (12.7%)	Diseases of the Respiratory System (9.3%)

40 ^aIncludes adults with and without IDD; source: Statistics Canada (No date)

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44 176 Among persons with IDD, the underlying cause of death was coded as an IDD in 3.8% of all
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46 177 deaths between 2011 and 2013. This means that an IDD diagnostic code as defined by Lin et al.
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48 178 (2013) was recorded on the death certificate in the underlying cause of death field. Down
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50 179 syndrome was recorded as the cause of death in more than 80% of IDD-coded causes of death.
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4 181 **DISCUSSION**
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7 182 Between 2011 and 2014, mortality rates in the population of Ontarian adults with IDD have
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9 183 decreased, with the most recent results showing an age-standardized mortality rate of 30.3 deaths
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11 184 per 1 000 person-years (95% C.I.: 28.3, 32.4). In 2013, results yielded a standardized mortality
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13 185 ratio of 3.7 (95% C.I.: 3.4, 3.9) indicating that 3.7 times more deaths occur in this population
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15 186 than would be expected to occur in the Ontario population aged 25-99. Sex-specific standardized
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17 187 mortality ratios showed that this disparity was consistent in males and females. Age-specific
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19 188 mortality ratios showed that disparities were more pronounced in younger age groups, but
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21 189 remained a concern for even the oldest age group, 90+. The most common causes of death in
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23 190 individuals with IDD were circulatory diseases, diseases of the respiratory system, and
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25 191 neoplasms; this finding was consistent between sexes.
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33 193 Excess mortality in individuals with IDD and large disparities between young and old age groups
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35 194 is consistent with findings from studies of mortality among individuals with IDD based in other
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37 195 countries (Dieckmann et al. 2015; McCarron et al. 2015). However, the age standardized
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39 196 mortality rate and standardized mortality ratio for our study were higher than reports from
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41 197 existing literature. Lauer and McCallion (2015) examined mortality in adults with IDD (aged
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43 198 18+) in the United States using administrative data and found an age-adjusted mortality rate of
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45 199 13.6 per thousand and a standardized mortality ratio of 1.8 and, both of which are roughly half of
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47 200 what we have found using similar methods in Ontario. This difference could in part be due to our
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49 201 ability to adjust using 5 year intervals to age 90+ while Lauer and McCallion were limited to
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51 202 using 10 year intervals to 75+.

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4 204 Patterns in mortality by age are consistent with the literature: disparities are more pronounced in
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6 205 younger age groups. McCarron et al. (2015) found a standardized mortality ratio of about 6 for
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8 206 individuals aged 20-29 decreasing to 2.7 in the highest age group of 80+; similarly, Florio and
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10 207 Trollor (2015) found a standardized mortality ratio of 5.5 for individuals aged 25-29, decreasing
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12 208 to 1.7 for the highest age group, 65-69. We found a ratio of 7.4 (95% C.I.: 4.5,10.4) for our
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14 209 youngest age group, (25-29) and a ratio of 1.7 (95% C.I.: 1.3, 2.0) for our oldest age group,
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16 210 (90+). These findings suggest that members of the IDD cohort who survive become increasingly
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18 211 similar to the general population with advancing age.
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26 213 The most current age standardized mortality rates and standardized mortality ratios show no
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28 214 significant differences in mortality between males and females with IDD in Ontario. In the
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30 215 literature there is no consistent pattern found for mortality among sexes. Some report mortality
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32 216 rates higher in males than in females (Dieckmann et al. 2015; Trollor et al. 2017) while others
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34 217 found higher rates in females than in males (Lauer and McCallion 2015). When examining
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36 218 disparities in mortality across gender between individuals with IDD and the general population,
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38 219 Florio and Trollor (2015), and McCarron et al. (2015) have found higher standardized mortality
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40 220 ratios among females with IDD, whereas Trollor et al. (2017) found no difference across sex
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42 221 using comparative mortality figures. Studies examining differences in mortality across sex as
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44 222 their primary hypothesis are warranted since the question remains unanswered.
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48 224 The most common causes of death in individuals with IDD (circulatory, respiratory, neoplasms)
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50 225 are relatively consistent with the most common causes of death among Canadian adults.
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53 226 However, these most common causes of death only account for 47.5% of deaths among adults
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4 227 with IDD compared to 66.2% in the general population. Other causes of mortality such as mental
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6 228 and behavioral disorders and diseases of the nervous system were reported more commonly in
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8 229 individuals with IDD than in the general population. Higher mortality in these diagnostic
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10 230 categories could be anticipated since mental health problems and neural disorders such as
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12 231 epilepsy are common among those with IDD (McGrother et al. 2006).
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18 234 An IDD diagnostic code was listed as the underlying cause of death in nearly 4% of deaths
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20 235 among individuals with IDD. This was more than 3 times the proportion of IDD coded
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23 236 underlying cause of death found in the UK by Tyrer and McGrother (2009). Generally, it is
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25 237 inappropriate to list an IDD as the underlying cause of death on the death certificate as it does
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27 238 not cause death, nor does it cause other conditions leading to death, though it may predispose an
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29 239 individual to them. Attention should be paid to coding practices with regards to the cause of
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31 238 death when the decedents have IDD.
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38 241 LIMITATIONS
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40 242 Using health administrative databases to define our cohort meant no information on level of
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42 243 disability was available. This study could not examine the effect of increasing severity of IDD on
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44 244 mortality rates in Canada. Additionally, this study did not address the impact of level of social
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46 245 support, intensity of care received, co-morbidities, or living arrangements, on mortality among
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48 246 adults with IDD. All of these may contribute to observed excess mortality warranting future
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50 247 research that examines these variables and their impact on mortality among individuals with
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52 248 IDD.
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4 250 The current study identified 0.44% of Ontarians aged 25-99 in 2011 as having an IDD. While
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6 251 this is lower than the prevalence reported by Bizier et al. (2015), it is not unexpected. The lower
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8 252 prevalence can be explained by the difference in ages being studied, the case definition, and the
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10 253 methods used. As Bizier et al. (2015) showed, in the Canadian Survey on Disability, the
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12 254 prevalence ranged from 0.4% to 0.5% among those 25 to 64 years. Furthermore, the Canadian
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14 255 Survey on Disability included cerebral palsy (CP) as a developmental disability whereas it was
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16 256 not included among the IDD codes to define the current cohort since CP can occur without
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18 257 cognitive impairment. Finally, the lower prevalence may also be in part due to the identification
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20 258 of IDD using health administrative databases as persons with milder disabilities may be less
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22 259 likely to have an IDD coded encounter with the healthcare system. In fact, Bizier et al. (2015)
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24 260 report that 15% of the individuals identified as having a developmental disability in the national
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26 261 survey did not report significant limitations in functioning.
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36 263 CONCLUSION
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38 264 Despite apparent decreases in mortality among adults with IDD, excess mortality remains. It
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40 265 becomes difficult to describe possible causes for this excess and potential interventions when
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42 266 true cause of death is unknown. Care should be taken with cause of death reporting so that
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44 267 reasons for these disparities can be further explored. In particular, there is a need to understand
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46 268 the extent to which deaths among adults with IDD could be avoided either because they could be
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48 269 prevented by optimizing healthcare or through public health interventions. Ongoing monitoring
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50 270 of mortality among adults with IDD in Canada is warranted; such surveillance should include
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52 271 reporting on rates of avoidable mortality.
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